'Post-shipment On-site Inspections: Multilateral Steps for Debating and Enabling Their Adoption and Use'

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SIPRI's research on post-shipment onsite inspections



SIPRI Background Paper lecember 2020

POST-SHIPMENT CONTROL MEASURES: EUROPEAN APPROACHES TO ON-SITE INSPECTIONS OF EXPORTED **MILITARY MATERIEL***

ANDREA RICARDO VARISCO, ROLJA RECOMMANN AND LUCILE RORIN

I. Introduction

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POST-SHIPMENT ON-SITE INSPECTIONS OF MILITARY MATERIEL: CHALLENGES AND RESPONSES

SIPRI Policy Brief

MARE BROMLEY, EOLJA BROCKMANN AND ANDREA EDOARDO VARIDO?

INTRODUCTION Post-shipment on-site inspections of military material ensities a state to perform checks on exported items after they have been delivered.¹ They are one of a range of pre- and post-shipment controls which states can use to help prevent the diversion of exported military material to unanthorized end-users and for manthorized and uses.² In recent years, a growing maniher of states have adopted or expanded their use of on-site impections. Aside from the United States-which has long

had the most wide-ranging policies and practices for ca-site inspections and post-shipment centrols—at ant eight stat that allow for their use and lawy carried out at least one inspection Balgaria, Caschia, Gern

SUMMARY · Fost-shipment on-site a small is not a character and

Latvia, Slovakia, Romania, South Africa and Switzerlandt² In addition. Spain and Sweden have ated new provisions fo Rolgenia, 6 Ang. 2021, Carolnia, 26 July 2022, Greening, 20 July 2021, Latria, 28 June 2022 The brief also not lines how a site hopections can be that hele to priso to

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SIPRI Good Practice Guide

POST-SHIPMENT ON-SITE INSPECTIONS OF MILITARY MATERIEL

ANDERA EDOARDO VARISCO AND MARK EBOMLET

INTRODUCTION

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Post-dispeters on-day inspections are physical inspections of military material conducted by the exporting state of the territory of the importing muse. They are one of a range of measures this exporting masse can adopt to try to present the diversion of seported military material by ensuring the assurances provided by the importing state relating to the authorized and user and and use of the military material are ushold. The United States started its first and-use menhoring programme and

This Critical Status mention in this structure methoding programme and suscitude systems of point-off-permit on-thic imposition in 5000, Other mann-hune also conducted pitts objective in the importance of have lateral measures that allow for them to take place. They include Heightin, Courthin, Germany, Latera, Fohard, Storman, Storika, Stath Africa, Spain, Swedan and Switzerland.¹⁷ In addition, several multileared increments how enshoul estidance documents or arms export controls that include provis Autoral galances incoments on arms experied outron that include protocols relevant to requiring or conducting on-site impections, such as empirical for and-uner corrification (IIICA) or lises of elements that they should commin. Post-abipaters on-site insections have also become an important topic all discussion in real-floared foramo and are one of the main factors of the German presidency of the Highth Conference of States Parties (CSP) to the Armon Trade Treasy (ATT), which is scheduled to take place on 22–35 August

¹ Variers, S. K., Berchmann, K. and Rollin, L. West abaptant control summers: Karap modules to an electronychica of organized tail tary material, MIRC background Paper, p. 6 stars, S. and Varises, J. E. "First disposate on disclopper form of milling I response, SIFRI Policy Irea, Dor. 2021, p. 1, Soc also Conflict Arms.

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POST-SHIPMENT **ON-SITE INSPECTIONS** AND STOCKPILE MANAGEMENT ASSISTANCE: BRIDGING GAPS

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MERE LEWIS AND GHTEANNA MALETTA⁶

INTRODUCTION

Post-altipeters on-alte inspec this enable an exporting state to shipment on-size inspections have noted a range of associated benefits.² These include identifyin potential poss shipment diversion risks and actual coses of postslipmers diversion, and ballding political challenges associated with on-site inspections.¹⁷ These include Affairs and Energy, 'Keep start's Accuracy post singulate control arms engern', Alasia 1918, ethat have conducted provariant paid and and pailing converts, and the world on

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SUMMERY

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SIPRI's research on post-shipment onsite inspections

- Challenges attached to introducing, planning and conducting post-shipment on-site inspection
- Variety of national approaches
- Post-shipment on-site inspection as one of several post-shipment measures to prevent diversion
- What role for multilateral fora and the ATT specifically?



Discussing on post-shipment on-site inspections: what role for the ATT?

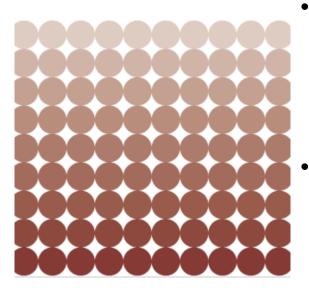
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POST-SHIPMENT ON-SITE INSPECTIONS

Multilateral Steps for Debating and Enabling Their Adoption and Use

MARK BROMLEY, LAURIANE HÉAU AND GIOVANNA MALETTA

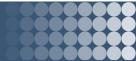


- SIPRI Policy Paper: "Post-shipment On-site Inspections: Multilateral Steps for Debating and Enabling Their Adoption and Use"
- Exploring the potential of multilateral fora
 to discuss issues related to on-site
 inspections as post-shipment control
 measure
- Steps and recommendations to enable such discussion: what is relevant for the ATT?



Adopt common language

- Variety of terms referring to post-shipment on-site inspections which creates confusion
- Discrepancies in meaning attached to these terms
- Need for a terminology building trust, confidence, cooperative relationships (e.g. 'in-country checks)
- Broaden language discussion to capture wide range of post-shipment control measures



Adopt common language

Non-exhaustive list of post-shipment control measures:

- Requiring and receiving delivery verification certificates (DVCs)
- Monitoring open-source media for reports of diversion.
- Collecting information through national embassies abroad on reports of diversion.
- Requiring/requesting that the exporting company report suspected or confirmed cases of diversion.
- In-country checks of some/all of the exported military materiel conducted in cooperation with the importing state.
- Joint physical security and stockpile management (PSSM) exercises conducted in cooperation with the importing state.



Information sharing about policies and practices

- Substantive exchange of views on the use and value of postshipment on-site inspections and other post-shipment measures
 - Within Sub-working group on Article 11
 - Support from research organizations/civil society through table-top exercises
 - **Goal**: enable a more comprehensive discussion on measures available and when inspections are appropriate
- Potential role of the DIEF to share more sensitive information



Build links with PSSM

- Post-shipment on-site inspections as an opportunity to initiate a dialogue on PSSM standards
- Lesson learned from implementation of PSSM assistance
- ATT as a forum to build bridges between export control and PSSM assistance communities:
- Building PSSM assistance measures into post-shipment control measures
- Explore how PSSM standards can inform post-shipment control measures



Useful links:

- SIPRI's website

- <u>Relevant publications</u>

